

Common Application Form

(For Lumpsum / Systematic Investments)

BARODA PIONEER MUTUAL FUND



Please read product labeling details available on cover page and the instructions before filling up the Application Form. Tick (✓) whichever is applicable, strike out whichever is not required.

Sr. No.

DISTRIBUTOR INFORMATION (Only empanelled Distributors / Brokers will be permitted to distribute Units of Baroda Pioneer Mutual Fund)

Distributor / Broker ARN	Sub-Broker Code	Sub-Broker ARN	EUIN	LG Code	I H No. (K Bolt)	Date & Time Stamp
ARN:54854					For Office use only	For Office use only

Upfront commission shall be paid directly by the investor to the AMFI registered distributor, based on the investor's assessment of various factors, including the service rendered by the distributor.

☐ I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

1st Applicant Signature / Guardian Signature / POA Signature / Thumb Impression

2nd Applicant Signature / POA Signature / Thumb Impression

3rd Applicant Signature / POA Signature / Thumb Impression

TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY (Please refer Instructions for filling up the Application Form - VIII)

☐ I confirm that I am a first time investor across Mutual Funds.
(₹ 150 deductible as Transaction Charge and payable to the Distributor)

☐ I confirm that I am an existing investor across Mutual Funds.
(₹ 100 deductible as Transaction Charge and payable to the Distributor)

In case the subscription amount is ₹10,000/- or more and your distributor has opted to receive Transaction Charges, they are deductible, as applicable, from the purchase / subscription amount and payable to the distributor. Units will be issued against the balance amount.

Existing Folio Number

FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) / COMMON REPORTING STANDARD (CRS) RELATED INFORMATION OF THE APPLICANT(S)

(Please refer instruction XI for details) It is mandatory to fill and sign the annexure relating to FATCA & CRS, which forms part of this Application Form.

Status of the First Applicant (Mandatory, please ✓) ☐ BOI ☐ LLP ☐ HUF ☐ Trust ☐ FIs ☐ Company ☐ QFI ☐ PIO ☐ OCI ☐ AOP ☐ Partnership ☐ NGO ☐ Sole Proprietorship ☐ Society / Club ☐ NRI-Repatriation ☐ Minor through guardian ☐ Body Corporate ☐ NRI - Non Repatriation ☐ Foreign National Resident in India ☐ Resident Individual ☐ Other

Occupation of the Applicant (Mandatory, please ✓) ☐ Student ☐ Business ☐ Professional ☐ Housewife ☐ Retired ☐ Builder ☐ Public Co. - Listed ☐ Public Co. - Unlisted ☐ Defence ☐ Agriculture ☐ Forex Dealer ☐ Gov. Service ☐ Public Sector ☐ Pvt. Sector Service ☐ Sports ☐ Entertainment ☐ Other

Gross Annual Income OR
Net-worth* in ₹ (Lacs)

☐ <5 L ☐ 5-25 L ☐ 25L-1Cr ☐ 1Cr-5 Cr ☐ >5 Cr

☐ <50 L ☐ 50 L - 2.5 Cr ☐ 2.5 - 10 Cr ☐ 10 Cr - 50 Cr ☐ >50 Cr

*Should not be older than
one year

as on Date

as on Date

☐ Politically Exposed Person (PEP) ☐ Related to a PEP

☐ Not Applicable

Is the entity involved in any of the following services: • Foreign Exchange/ Money Changer ☐ Yes ☐ No

• Gaming/ Gambling/ Lottery (casinos, betting syndicates) ☐ Yes ☐ No • Money Lending/ Pawning ☐ Yes ☐ No

Any other information

MODE OF HOLDING

☐ Single OR ☐ Joint OR ☐ Anyone or Survivor Default Option: Joint (refer instructions bank account details)

SOLE / FIRST APPLICANT'S PERSONAL DETAILS (Please fill in ALPHABETS and use one box for one alphabet, leaving one box blank between two words, as it appears in your Bank Account)

Name Mr Ms M/s

KYC Identification No (KIN)

Investors who have completed their Centralised KYC (CKYC) and have obtained KYC Identification Number (KIN) from the Central KYC Records Registry (CKYCR), may quote their KIN while investing. In case of minor, please provide KIN of guardian.

PAN (Refer Instruction IV)#

Name of the contact person in case of Non-Individual

Date of Birth (DOB) Nationality (For Individuals)

Guardian Name (if Sole/ First applicant is a Minor) Mr Ms M/s

PAN (Refer Instruction IV)#

*If the First Applicant is a Minor, please state the details of Guardian. # Please attach PAN proof.

☐ Natural Guardian (Father / Mother) ☐ Legal Guardian (Court appointed Guardian) ☐ Proof of DOB of Minor enclosed (please ✓) ☐ Passport ☐ Birth Certificate ☐ Other

Address [P. O. Box Address is not sufficient] (Indian address, in case of NRIs/ FIs)

City

Pincode

(Mandatory)

State

Country

Phone (Off.)

Fax No.

Mobile No.

Phone (Res)

Email ID*

*Wherever email ID is registered, an electronic Statement of Account (e-SOA) will be shared with the investor. In case you want to receive a physical statement, please request for the same separately.

ACKNOWLEDGMENT SLIP (To be filled in by the investor)

Received from Mr. / Ms. / M/s.

Sr. No.

PAN an Application for scheme

Option (please ✓) ☐ Growth ☐ Dividend Sub-option (please ✓) ☐ Payout ☐ Reinvestment

along with Cheque / DD No. / UTR No.

Dated

Drawn on (Bank)

Amount ₹

Signature, Stamp & Date

Overseas Address (Mandatory in case of NRI/ FII applicant, in addition to mailing address)																								
State										Country					Zip Code									
SECOND APPLICANT'S DETAILS										Name		Mr Ms												
PAN <small>(Refer Instruction IV)* *Please attach PAN proof.</small>														Country of Birth					KYC Identification No (KIN)*					
Date of Birth										D	D	M	M	Y	Y	Y	Y	Status: (✓) <input type="checkbox"/> RI <input type="checkbox"/> NRI		Nationality				
THIRD APPLICANT'S DETAILS										Name		Mr Ms												
PAN <small>(Refer Instruction IV)* *Please attach PAN proof.</small>														Country of Birth					KYC Identification No (KIN)*					
Date of Birth										D	D	M	M	Y	Y	Y	Y	Status: (✓) <input type="checkbox"/> RI <input type="checkbox"/> NRI		Nationality				
NAME OF POWER OF ATTORNEY (POA) HOLDER (If investment is being made by a Constituted Attorney)										Mr Ms														
PAN														KYC Identification No (KIN)*										

* Refer Instruction IV under KYC details.

SUBSCRIPTIONS TO BARODA PIONEER LIQUID FUND Subscriptions to Baroda Pioneer Liquid Fund, by NEFT / RTGS and credit to the collection account of the mutual fund after 2 pm up to 3 pm.* (please ✓ any one)																			
<input type="checkbox"/> Units to be allotted based on the closing NAV of the day immediately preceding the next business day*. <input type="checkbox"/> Subscription amount to be credited to the registered default bank account of the investor on the day of credit.																			

FIRST HOLDER'S BANK ACCOUNT DETAILS (Mandatory) Refer Instruction III.																			
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All communication / payments will be made to the first applicant, or to the Karta in case of HUF. Bank account details of first applicant required, without which the application is liable to be rejected.																									
Name of the Bank										Branch															
Account No. (in figures)										Account Type					<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> Others										
Account no. (in words)																									
Bank Address																									
Pincode					State					City															
MICR Code (9 digits)										Example for filling the Account No.					Ac. No.		1	3	5	7	*This is an 11 Digit Number, kindly obtain it from your Bank Branch.				
*IFSC Code for NEFT / RTGS															In words		One	Three	Five	Seven	(Please attach copy of cancelled cheque)				

REDEMPTION / DIVIDEND / REFUND PAYOUTS (Refer Instruction X for details)																			
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SCHEME DETAILS (Please choose the Option and Sub-option for Investment, please read product labeling details available on Cover Page and Instruction before filling this section)																			
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Scheme Name										Plan (please ✓) <input type="checkbox"/> Plan A <input type="checkbox"/> Plan B (Direct) <input type="checkbox"/> Zero Balance folio									
Option (please ✓) <input type="checkbox"/> Growth <input type="checkbox"/> Dividend										Sub-option (please ✓) <input type="checkbox"/> Payout <input type="checkbox"/> Reinvestment									

INVESTMENT DETAILS (Strike off whichever is not applicable)																			
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GROSS AMOUNT (A) ₹					A					DD CHARGES (IF ANY) (B)					B					NET AMOUNT (CHEQUE / DD AMOUNT) ₹					A minus B						
MODE OF PAYMENT <input type="checkbox"/> Cheque <input type="checkbox"/> NEFT / RTGS <input type="checkbox"/> DD [(Bank Certificate / Third Party / DD Declaration Enclosed) (for Third Party Payment Refer Instruction VI(9))]																															
Cheque / DD Details		A/c No.												A/c Type																	
		Cheque / DD No.		Date										D	D	M	M	Y	Y	Y	Y	Drawn on Bank									
In case of NEFT / RTGS payment										UTR No.																					

DEMAT ACCOUNT DETAILS <input type="checkbox"/> National Securities Depository Limited <input type="checkbox"/> Central Depository Services (India) Limited																			
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Depository Participant Name Mr / Ms / M/s																			
DP ID No.										Client ID No.									

NOMINATION DETAILS (To be filled in by individuals singly or jointly. Mandatory only for Investors who opt to hold units in Non-Demat Form) Refer Instruction VII.																			
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Name and Address of the Nominee(s)				Relationship between Nominee & Investor		Date of Birth		Name & Address of Guardian (to be furnished in case the nominee is minor)				Signature of Guardian / Nominee				Proportion (%) by which the units will be shared by each nominee (% to aggregate to 100%)			
Nominee 1																			
Nominee 2																			
Nominee 3																			

DECLARATION AND SIGNATURES																			
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I/We have read and understood the contents of the scheme related documents and hereby apply for allotment of units in the Scheme. I/We agree to abide by the terms, conditions, rules & regulations governing the Scheme. I/We hereby declare that I/We am/are authorized to make this investment and that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any act, rule, regulation, notification or direction or any other applicable laws issued by the Government of India or any regulatory or statutory authority. I/We have understood the details of the Scheme and in the event "Know Your Customer" process is not completed by me/us to the satisfaction of the AMC, I/We hereby authorize the AMC to redeem the funds invested in the Scheme, in favour of the first applicant at the applicable NAV prevailing on the date of such redemption and to undertake such other action with such funds as may be required by law. I/We hereby authorise Baroda Pioneer Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my bank(s)/Baroda Pioneer Mutual Fund bank(s) and/or Distributor/Broker/Investment Adviser.

The ARN holder has disclosed to me/us all the commission (in the form of trail commission or any other mode), payable to him/it for the different competing schemes of various mutual funds from amongst which the Scheme is being recommended to me/us. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the information given in this application form is correct, complete and truly stated. If I/We have not ticked for not appointing a nominee, then the Application Form shall be processed as without nomination.

Applicable for "Execution Only" transaction: I/We, the undersigned, hereby acknowledge and confirm that the above transaction is "Execution Only" as explained vide SEBI circular no. CIR/IMD/DF/13/2011 dated 22 August 2011. This investment is being made notwithstanding the advice of the appropriateness/inappropriateness of the same and the distributor has not charged any advisory fees on this transaction.

Applicable for NRIs: I/We confirm that I am/we are Non-Residents of Indian nationality/origin but not residents of the United States and Canada and I/we hereby confirm that I/we have remitted funds from abroad through approved banking channels or from my/our monies in my/our domestic account maintained in accordance with applicable RBI guidelines.

1st Applicant Signature / Guardian Signature /
POA Signature / Thumb Impression

2nd Applicant Signature / POA Signature /
Thumb Impression

3rd Applicant Signature / POA Signature /
Thumb Impression

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Simply send **SMS to 9212 132763 to avail the below facilities	
Balance	SMS BAL <space> last 6 digits of Folio No.
NAV	SMS NAV <space> last 6 digits of Folio No.
Statement thru Email	SMS ESOA <space> last 6 digits of Folio No.
Last 3 Transactions	SMS Transaction <space> last 6 digits of Folio No.



Investor can avail below facilities	
1. NAV	
2. Account Balance	
3. Account Statement	
4. Last 5 Transactions	

For more details call :
1800-2670-189 (Toll Free)
 9 am to 6 pm - Monday to
 Saturday on all Business Days
 9 am to 2 pm on 2nd & 4th
 Saturdays of the Month
www.barodapioneer.in

**SMS charges as per service provider applicable.