

DSP BLACKROCK MUTUAL FUND

Website : www.dspblackrock.com
E-mail : service@dspblackrock.com
Contact Centre: 1-800-200-4499

ACKNOWLEDGEMENT SLIP

Acknowledgement is subject to verification. Request may not be processed in case of incomplete / ambiguous / improper / incorrect details in Transaction Form.

Investor Name

Folio Number

Scheme Name / Plan / Option / Sub Option

DSP BlackRock

☐ Additional Purchase or ☐ SIP (PDC)

Total Amount (Rs.)

Total Cheques

Cheques No.(s)

From

To

☐ Redemption or ☐ Switch

Amount (Rs.)

OR Units

☐ PAN and KYC Updation

☐ PoA Registration

☐ STP or ☐ SWP or ☐ DTP

ISC Stamp & Signature

**FINANCIAL
TRANSACTIONS**

DSP BLACKROCK MUTUAL FUND

COMMON TRANSACTION FORM

(For Existing Investors only)

FINANCIAL TRANSACTIONS

Please fill only in the space provided. Any additional details/notings/instructions or those provided at a non designated area of the form may not be executed. Kindly strike off the unused Sections of the Form to avoid misuse. Please use separate Transaction Form for each Transaction and for each Scheme / Plan and Kindly refer Instructions overleaf.

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

Existing Folio Number

Name of Sole / First Unitholder

Scheme Name / Plan* / Option* / Sub Option*

DSP BlackRock

ADDITIONAL PURCHASE (Cheque / DD to be drawn in favour of 'Name of the Scheme')

Payment Mode: ☐ Cheque ☐ DD ☐ RTGS ☐ NEFT ☐ Funds transfer
Amount in Words (Refer instructions overleaf on Third Party payments)

Amount in Figures (i)

Rs.

Cheque / DD / RTGS / NEFT No.

DD Charges (ii)

Rs.

Cheque / Payment Date

Total Amount (Rs.) (i) + (ii)

Rs.

Payment from Bank A/c No.

Pay In A/c No. (Mandatory)

Cheque / DD Drawn on / Payment from Bank & Branch

Account Type ☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ FCNR

Documents Attached to avoid Third Party Payment Rejection, where applicable :

☐ Bank Certificate, for DD ☐ Third Party Declaration

REDEMPTION (Write Scheme Name, Plan / Option / Sub Option on top)

Amount in Figures

Amount in Words

Rs.

OR (Please note that the Redemption can be done either in Units or in Amount and not in both)

Units in Figures

Units in Words

Bank Account for This Redemption Proceeds (This should NOT be construed as "Change of Bank Mandate" request. Refer Instructions overleaf.)

I/We agree that the redemption proceeds should be sent entirely at our risk to the following bank account, if already registered with the fund or to the default bank account if no bank account is mentioned here.

Bank Name

Account No.

Important Note: Unregistered bank account will not be considered, even if mentioned here. To change bank account, investors should avail multiple bank account registration facility and use a specific designated form for this purpose. If unit holder(s) provide a new and unregistered bank mandate with the redemption request (with or without necessary supporting documents) such bank account will not be considered for payment of redemption proceeds and will not be registered.

* Default Option may be applied in case of no information, ambiguity or discrepancy.

Distributor Name and ARN	Sub Broker Code	Sub Broker/Branch/ RM Internal Code	Employee Unique ID No. - (EUIN)
SGSSL - 54854			E026651

☐ I/We confirm that the EUIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned.

Sole / FirstApplicant's Signature Mandatory

SWITCH (Write switch-out Scheme Name, Plan / Option / Sub Option on top)

Amount in Figures

Amount in Words

Rs.

OR (Please note that the Switch can be done either in Units or in Amount and not in both)

Units in Figures

Units in Words

Switch-in To Scheme / Plan / Option* / Sub Option*

DSP BlackRock

PAN AND KYC UPDATION

Sole / First Applicant / Guardian

Second Applicant / Guardian

Third Applicant / Guardian

KYC LETTER

☐ Attached

☐ Attached

☐ Attached

PoA (Power of Attorney) REGISTRATION DETAILS (Refer Instructions overleaf)

Name of the PoA holder

PAN of the PoA holder

Attached ☐ KYC Letter (Mandatory)

☐ Notarized copy of PoA

DECLARATION & SIGNATURES

Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum, Instructions and addenda issued by DSP BlackRock Mutual Fund, I / We, hereby apply to the Trustee of DSP BlackRock Mutual Fund for Units of the relevant Scheme and agree to abide by the terms and conditions, rules and regulations of the Scheme. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

If EUIN is left blank/not mentioned: I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

Applicable to NRIs only: I/We confirm that I am/We are Non-Resident(s) of Indian Nationality

Sole / First Holder

Second Holder

Third Holder

(To be signed as per Mode of Holding)

Name of Sole / First Unitholder (Leave space between first / middle / last name)

☐ Mr. ☐ Ms. ☐ M/s. ☐ Others_____

Folio Number

Scheme Name / Plan / Option* / Sub Option*

DSP BlackRock

Distributor Name and ARN	Sub Broker Code	Sub Broker/ Branch/RM Internal Code	Employee Unique Id. No. (EUN)

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

SYSTEMATIC INVESTMENT PLAN (SIP) POST DATED CHEQUES (PDC)

(Separate Cheque required for investment in different Scheme / Plan)

All Cheques should be of same date of the months / quarters.

Each SIP Amount (minimum Rs. 500) Rs. _____

SIP Date ☐ 1st ☐ 7th ☐ 14th ☐ 21st ☐ 28th

Investment Frequency ☐ Monthly ☐ Quarterly

SIP Period From / / To / /

(Minimum 12 installments, 6 in case of DSPBR TaxSaver Fund)

Cheque Nos. From To

Drawn on Bank _____

Branch _____ City _____

SYSTEMATIC TRANSFER PLAN (STP) (Please allow 7 days to register STP)

STP in To Scheme

DSP BlackRock

Plan / Option* / Sub Option*

Transfer Amount ☐ Fixed Sum of Rs. _____ (Minimum Rs.500/-)

☐ Capital Appreciation, subject to Minimum of Rs.500/-

Transfer Date ☐ 1st* ☐ 7th ☐ 14th ☐ 21st ☐ 28th ☐ All five Dates

Frequency ☐ Monthly* ☐ Quarterly * Default Option

Transfer Period (Period to cover - minimum 6 STP transactions) From / / To / /

Investments done in schemes through STP will be treated as investments through SIP and the load structure for SIP will be applicable.

SYSTEMATIC WITHDRAWAL PLAN (SWP) (Please allow 7 days to register SWP)

Withdrawal Amount ☐ Fixed Sum of Rs. _____ (Minimum Rs.500/-)

☐ Capital Appreciation, subject to Minimum of Rs.500/-

Withdrawal Date ☐ 1st* ☐ 7th ☐ 14th ☐ 21st ☐ 28th ☐ All five Dates

Frequency ☐ Monthly* ☐ Quarterly * Default Option

Withdrawal Period (Period to cover - minimum 6 SWP transactions) From / / To / /

* Default Option may be applied in case of no information, ambiguity or discrepancy.

☐ I/We confirm that the EUN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned.

Sole / FirstApplicant's Signature Mandatory

DIVIDEND TRANSFER PLAN (DTP) - ENROLMENT DETAILS

(Please allow 7 days to register DTP) (Refer Terms and Conditions)

(Please mention complete Scheme, Plan & Option)

Source Scheme (From where Dividend is to be transferred)

DSP BlackRock

To

Target Scheme (To where Dividend is to be transferred)

DSP BlackRock

DECLARATION & SIGNATURES

Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum, Instructions and addenda issued by DSP BlackRock Mutual Fund, I / We, hereby apply to the Trustee of DSP BlackRock Mutual Fund for Units of the relevant Scheme and agree to abide by the terms and conditions, rules and regulations of the Scheme. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. The ARN holder has disclosed to me/ us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

If EUN is left blank/not mentioned; I/We hereby confirm that the EUN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/ relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction."

Applicable to NRIs only: I/We confirm that I am/We are Non-Resident(s) of Indian Nationality

Sole / First Holder

Second Holder

Third Holder

(To be signed as per Mode of Holding)

This form should be used by existing investors only by mentioning their folio number, name and Scheme details. Please read the Scheme related documents, Addenda, KIM and Instructions there-in and below mentioned instructions carefully before filling up the form. Investors should mandatorily use standard forms available at the ISCs/ www.dsblackrock.com, for any financial/non-financial transactions. Any transaction received in any non-standard form, is liable to be rejected. Investors should provide details/instructions only in the designated space provided in the form.

■ **ADDITIONAL PURCHASE & PAYMENT DETAILS:** Investors should fill name of the scheme, plan, option and sub-option. In case the details are not proper and clear or in case of incomplete details, non-clarity or ambiguity, default options will be considered and applied. The cheque or demand draft should be drawn in favour of 'Scheme Name', as the case may be, and should be crossed 'Account Payee Only'. Separate cheques and form should be given for each separate investment in a different scheme, plan or option.

■ The first unit holder should be one of the bank account holders in the pay-in bank account. Purchase application requests should necessarily mention the pay-in bank account details i.e. account number and bank, branch name of the bank account used for issuing the payments to the fund. If this is not evidenced on the payment cheque/funds transfer/RTGS/NEFT request, or in case of demand drafts unit holder should attach necessary supporting documents as required by the fund, like bank certificate, bank passbook copy or statement to prove that the funds are from a bank account held by first unit holder only. If the documents are not submitted with the application, the fund reserves the right to reject the application or call for additional details. Investors are advised to visit www.dsblackrock.com > Knowledge Centre for more details or approach any of the offices of the fund.

■ In specific exceptional situations where Third Party payment is permitted like i. Payment by Parents / Grand-Parents / Related persons on behalf of a minor (other than registered guardian) in consideration of natural love and affection or as gift for value not exceeding Rs 50,000 for each purchase, ii. Payment by an Employer on behalf of Employee under Systematic Investment Plans through Payroll deductions or iii. Custodian on behalf of an FII or a client, KYC of the investor and the KYC of the person making the payment is mandatory irrespective of amount. Additionally a joint declaration is also required to be submitted. Investors are advised to visit www.dsblackrock.com > Knowledge Centre for more details, including declaration format or approach any of the offices of the fund.

■ **KYC COMPLIANCE:** Investors shall note that KYC is mandatory and they need to comply with the 'Know Your Client' requirements, by submitting requisite documents to CDL Ventures Limited. For more information on KYC, please log on to www.dsblackrock.com/cvlinia.com before investing. Applications are liable to be rejected without any intimation to the applicants, if required KYC compliance is not complied by all the unit holders.

■ **REDEMPTION REQUEST:** Redemption may not be processed if folio number and full scheme name including plan and option is not mentioned. Please ensure that either of amount or units is mentioned in the redemption request. The fund offers a facility to register multiple bank accounts and designate one of the bank account as "Default Bank Account". Default Bank Account will be used for all dividends and redemptions payouts including FMP schemes maturity proceeds unless investor specifies one of the existing registered bank account in the redemption request for receiving redemption proceeds. A new non-registered bank account specified in the specific redemption request for receiving redemption proceeds will not be considered. Consequent to introduction of "Multiple Bank Accounts Facility", the existing facility of redemption with change of bank mandate is discontinued by the fund. New bank accounts can only be registered using the designated "Bank Account Registration Form".

■ **BANK ACCOUNT FOR REDEMPTION PROCEEDS:** Please note the following important points related to payment of redemption proceeds: • Proceeds of any redemption request will be sent only to a bank account that is already registered and validated in the folio at the time of redemption transaction processing. • Unit holder(s) may choose to mention any of the existing registered bank accounts with redemption request for receiving redemption proceeds. If no registered bank account is mentioned, default bank account will be used. • If unit holder(s) provide a new and unregistered bank mandate with a specific redemption request (with or without necessary supporting documents) such bank account will not be considered for payment of redemption proceeds.

■ **POA REGISTRATION:** Only a general Power of Attorney agreement without any restrictions and perennial validity is accepted. The PoA must be executed on stamp paper and registered in India and a duly notarized copy should be enclosed. The PoA must have signatures of the investor as well the PoA holder. If the signature of PoA holder is not available, the fund may call for additional documents or declarations on a case to case basis. PoA will be registered within 10 working days of receipt of all valid documents.

■ **SYSTEMATIC INVESTMENT PLAN (SIP):** Minimum Investment Amount for each SIP instalment is Rs.500/-. SIP Facility is available only on specific dates of the month viz. 1st / 7th / 14th / 21st/28th. All Cheques should be of the same date of month / quarter and of the same amount . The Cheque should be drawn in favour of "Name of the Scheme and Plan" as applicable. A separate SIP Form must be filled for each Scheme / Plan. Please mention your folio number and name on reverse of the Cheque. Minimum installments required is 12 installments in all available Schemes, 6 installments in DSPBR Tax Saver Fund.

■ **SYSTEMATIC TRANSFER PLAN (STP) / SYSTEMATIC WITHDRAWAL PLAN (SWP):** Please allow upto 7 days for STP/ SWP to be registered and first STP/ SWP transaction to happen. Hence form should be submitted atleast 7 days before STP / SWP start date. STP/ SWP is available in all the schemes of the Fund. Please note the default options highlighted in the form which will be used in case of incorrect details in the form. For investors availing the transfer/ withdrawal of 'appreciation' option, where in any week, month or quarter, there is no appreciation or is less than Rs.500/-, switch/withdrawal as mentioned above, will not be carried out. You can choose to discontinue this facility by giving 30 days written notice to the Registrar.

■ **DIVIDEND TRANSFER PLAN (DTP):** Please allow upto 7 days for DTP to be registered. Hence form should be submitted atleast 7 days before the record date of any forthcoming proposed dividend. Please refer to www.dsblackrock.com > Services > Dividend Transfer Plan for list of Source Scheme, Target Schemes and detailed terms and conditions. The Minimum amount of dividend eligible for transfer under Dividend Transfer Plan is Rs. 500/-.

DSP BLACKROCK MUTUAL FUND

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ACKNOWLEDGEMENT SLIP

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Investor Name

Folio Number/s

- ☐ Change of Address
☐ Change of Bank Mandate
☐ PoA Registration
☐ Contact Details
☐ PAN and KYC Updation
☐ Change in Mode of Holding
☐ Consolidation of Folios
☐ Nomination Details
☐ Cancellation for Systematic Transactions
☐ SIP ☐ STP ☐ SWP
☐ DTP - Cancellation

ISC Stamp & Signature

**NON-FINANCIAL
TRANSACTIONS**

DSP BLACKROCK MUTUAL FUND

COMMON TRANSACTION FORM

(For Existing Investors only)

**NON-FINANCIAL
TRANSACTIONS**

Please fill only in the space provided. Any additional details/notings/instructions or those provided at a non designated area of the form may not be executed. Kindly strike off the unused Sections of the Form to avoid misuse.

Distributor Name and ARN	Sub Broker Code	Sub Broker/Branch/RM Internal Code	Employee Unique ID. No. (EUIN) (Refer note below)

Existing Folio Number/s

Name of Sole / First Unitholder (Leave space between first / middle / last name) ☐ Mr. ☐ Ms. ☐ M/s. ☐ Others

CHANGE OF ADDRESS

For KYC complied folio, change of address should be submitted in KYC change form.
For Non KYC folios-Attach self attested Proof of address AND PAN Card (OR Any other Proof of Identity for PAN exempt cases only).
Copies of all documents submitted should be accompanied by originals for verification or they should be attested by a KYD complied distributor or personnel / entities authorized for attesting as per KYC guidelines.

Landmark

City

Pin Code

State

CHANGE OF BANK MANDATE

Attach any one of: ☐ Cancelled Cheque with name & account number pre-printed
☐ Latest Bank statement ☐ Latest Pass book ☐ Bank Letter
Submit originals of any one of the documents mentioned above, or copy should be attested by the Bank or originals should be produced for verification. All supporting documents should clearly evidence the bank name, bank account number and names of all account holders.

Bank Name

Core Bank
A/c No.

A/c Type ☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ FCNR ☐ Others

Branch Name
& Address

City

Pin

IFSC Code
(11 digit)

MICR Code
(9 digit)

PoA (Power of Attorney) REGISTRATION DETAILS (Refer Instructions overleaf)

Name of the
PoA holder

PAN of the
PoA holder

Attached ☐ KYC Letter (Mandatory)

☐ Notarized copy of PoA

CONTACT DETAILS (Refer Instructions overleaf)

E-Mail

(IN CAPITAL)

Mobile

Tel. (Off.)

STD Code

Tel. (Resi.)

STD Code

Fax

STD Code

PAN AND KYC UPDATION

Sole / First Applicant / Guardian

Second Applicant / Guardian

Third Applicant / Guardian

KYC LETTER

☐ Attached

☐ Attached

☐ Attached

DECLARATION & SIGNATURES

Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum and Instructions. I / We, hereby apply to the Trustee of DSP BlackRock Mutual Fund for Units of the relevant Scheme and agree to abide by the terms and conditions, rules and regulations of the Scheme. I / We hereby nominate the above nominee to receive all the amounts to my/our credits in the event of my/our death and have read the instructions for nomination. Signature of the nominee acknowledging receipts of my/our credit will constitute full discharge of liabilities of DSP BlackRock Mutual Fund. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority.

Sole / First Holder

Second Holder

Third Holder

(To be signed as per Mode of Holding)

COMMON TRANSACTION FORM
(For Existing Investors only)

**NON-FINANCIAL
TRANSACTIONS**

Folio Number/s / / /

Name of Sole / First Unitholder (Leave space between first / middle / last name) ☐ Mr. ☐ Ms. ☐ M/s. ☐ Others _____

CHANGE IN MODE OF HOLDING

(All Joint Holders should sign, even in case of "Any one or Survivor")

New Mode of Holding (please tick ☒) ☐ Anyone or Survivor ☐ Joint Holding

CONSOLIDATION OF FOLIOS

(All Joint Holders should sign, even in case of "Any one or Survivor")

Folios to be consolidated (Mention all source folios i.e. the folios to be consolidated, here)

1.	4.
2.	5.
3.	6.

Target Folio No. for consolidation (Mention the target folio here, wherein all folios needs to be consolidated)

Target Folio (only one)

NOMINATION DETAILS

(All Joint Holders should sign, even in case of "Any one or Survivor")

☐ I/We wish to nominate ☐ I/We DO NOT wish to nominate

I/We do hereby nominate the person/s more particularly described hereunder / and / cancel the nomination made by me/us earlier in respect of Units held by Me/us.

	Allocation %	Signature (Nominee/Guardian)
Nominee 1 Name :		
Date of Birth <input type="text"/> <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		
Guardian Name (if minor) :		
Address :		
Landmark		
City	Pin Code	
Nominee 2 Name :		
Date of Birth <input type="text"/> <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		
Guardian Name (if minor) :		
Address :		
Landmark		
City	Pin Code	
Nominee 3 Name :		
Date of Birth <input type="text"/> <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		
Guardian Name (if minor) :		
Address :		
Landmark		
City	Pin Code	
Total	100%	

CANCELLATION FORM FOR SYSTEMATIC TRANSACTIONS (SIP / SWP / STP)

Source Scheme / Plan / Option (Please mention Folio no., Scheme, Plan / Option clearly.)

DSP BlackRock

Transaction Type (Please ☒) ☐ SIP ☐ SWP ☐ STP

Installment / Transfer Dates ☐ 1st ☐ 7th ☐ 14th ☐ 21st ☐ 28th ☐ All five Dates

Amount Rs.

**Discontinuation of SI transaction
may take upto one month.**

SIP Auto Debit Bank Name

Bank A/c No.

STP in to Scheme
(in case of STP)

CANCELLATION FORM FOR DIVIDEND TRANSFER PLAN (DTP)

(Please allow 7 days for DTP Cancellation)

Source Scheme / Plan / Option (From where Dividend is transferred)

DSP BlackRock

New Dividend Sub option ☐ Dividend Payout ☐ Dividend Reinvestment (default)

DECLARATION & SIGNATURES

Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum and Instructions. I / We, hereby apply to the Trustee of DSP BlackRock Mutual Fund for Units of the relevant Scheme and agree to abide by the terms and conditions, rules and regulations of the Scheme. I / We hereby nominate the above nominee to receive all the amounts to my/our credits in the event of my/our death and have read the instructions for nomination. Signature of the nominee acknowledging receipts of my/our credit will constitute full discharge of liabilities of DSP BlackRock Mutual Fund. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority.

<input type="text"/>	<input type="text"/>	<input type="text"/>
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Sole / First Holder

Second Holder

Third Holder

(To be signed as per Mode of Holding. However for nomination, all Joint Holders should sign.)

INSTRUCTIONS

■ **CHANGE OF ADDRESS:** Please always mention the Pincode while updating the address as it helps postal authorities and couriers in faster delivery. Change of address request for folios that are CVL KYC compliant will not be registered by the fund and investor should get the address updated with CVL system first. For details, please visit www.cvlindia.com. For Non KYC folios-Attach Proof of address AND PAN Card **OR** Any other Proof of Identity for PAN exempt cases only.

Copies of all documents submitted should be self attested and accompanied by originals for verification or they should be attested by a KYD complied distributor or personnel / entities authorized for attesting as per KYC guidelines.

■ **CHANGE OF BANK MANDATE:** Unitholder(s) need to attach any one of the following mandatory documents in original, in respect of each bank account for registering the bank accounts, failing which the particular bank account will not be registered. This will help in verification of the account details and register them accurately.

☐ Cancelled Cheque with name and account number pre-printed

☐ Latest Bank statement ☐ Latest Pass book ☐ Bank Letter

a. If photocopy of any documents is submitted, the copy should be certified by the bank or investors must produce original for verification.

b. All documents submitted should clearly evidence the bank name, account number and name of all account holders.

This bank account will be registered as the default account.

■ **CONTACT DETAILS:** Applicants should provide contact information such as email address, mobile number and other telephone numbers. The fund sends transaction information and alerts via email and sms, which will assist them keep track of the activities in the folio. Account statements, newsletter, annual reports and other kinds of communication will be sent through email only instead of physical, for investors who provide their email address. Should they wish to have a hard copy, email request can be sent to service@dspblackrock.com. It is deemed that the Unit Holder is aware of all the security risks associated with online communication, including possible third-party interception of documents sent via email.

■ **KYC COMPLIANCE:** Investors shall note that KYC is mandatory and they need to comply with the 'Know Your Client' requirements, by submitting requisite documents to CDSL Ventures Limited. For more information on KYC, please log on to www.dspblackrock.com/www.cvlindia.com/www.amfiindia.com before investing. Applications are liable to be rejected without any intimation to the applicants, if KYC compliance, as required is not complied with by all the unit holders.

■ **CHANGE IN MODE OF HOLDING:** Joint Applicants who wish to change their mode of holding from "Anyone or Survivor" to "Joint Holding" or vice versa should use this section and hereby agree that after the updation of new mode of holding/operation, any request based on previous holding/operation will not be honoured by the fund. **Further, all unit holders need to sign the request irrespective of the Mode of holding.**

■ **CONSOLIDATION OF FOLIOS:** Consolidation of various folios can take place only if a. Names of unit holders, b. Order of unit holders and c. Tax status is identical in all the mentioned folios. By requesting for consolidation of folios, the unit holder/s agree that the mode of holding, bank mandate, address and nomination details in the Target Folio will be applicable and will prevail after consolidation even if they were different details in source folios.

■ **POA REGISTRATION:** Only a general Power of Attorney agreement without any restrictions and perennial validity is accepted. The PoA must be executed on stamp paper and registered in India and a duly notarized copy should be enclosed. The PoA must have signatures of the investor as well the PoA holder. If the signature of PoA holder is not available, the fund may call for additional documents or declarations on a case to case basis. PoA will be registered within 10 working days of receipt of all valid documents.

■ **NOMINATION DETAILS:** The nomination can be made only by individuals applying for / holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. Nomination facility is also not available for investments held on behalf of minor. **If the units are held jointly, all joint holders will have to sign the Nomination Form, even if the mode of holding is "Either or Survivor".** A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unit holder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust. The Nominee shall not be a trust, other than a religious or charitable trust, society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A Non-Resident Indian can be a Nominee subject to the exchange controls in force, from time to time. Nomination in respect of the units stands rescinded upon the transfer of units. The cancellation of the nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination. On cancellation of the nomination, the nomination shall stand rescinded and the AMC shall not be under any obligation to transfer the units in favour of the Nominee. Investors who desire to make their nomination in favour of more than one and upto three individuals may do so by writing to the AMC/Registrar, specifying clearly the percentage to be allocated between the nominees. If no percentages are mentioned, nomination will be done equally for all the nominees. The rights in the units will vest in the nominee only upon the death of all unitholders. A new nomination request will imply simultaneous cancellation of existing nomination and request for fresh nomination. **Applicants who do not wish to nominate, must at the designate space confirm their intention on not to nominate, failing which the form may be rejected at the discretion of the AMC/Fund.**

■ **DIVIDEND TRANSFER PLAN (DTP):** Form should be submitted atleast 7 days before the record date of any forthcoming proposed dividend. Please refer to www.dspblackrock.com > Services > Dividend Transfer Plan for list of Source Scheme, Target Schemes and detailed terms and conditions.