SIP ENROLLMENT FORM

Please read Product Labeling available on the Front Inside Cover Page and instructions before filling this form (all points marked * are mandatory)

✳ Edelweiss | MUTUAL FUND
Ideas create, values protect

Sponsor: Edelweiss Financial Services Limited | **Trustee Company:** Edelweiss Trusteeship Company Limited.
Investment Manager: Edelweiss Asset Management Limited. Edelweiss House, Off. C.S.T Road, Kalina, Mumbai - 400 098

☐ **New SIP Registration**   ☐ **Micro SIP**   ☐ **Change in Bank Account (For SIP earlier registered)**   ☐ **Top-up**   ☐ **GPrS**

1   DISTRIBUTOR INFORMATION

Name & Distributor Code	Sub-Broker Code	Sub-Broker Code	Employee Unique	E-Code	RIA CODE	APPLICATION NO.
	ARN	INTERNAL CODE	IDENTIFICATION NO. (EUIN)		ONLY FOR DIRECT INVESTMENT	
South Gujarat ARN:54854						

*Investors should mention the EUIN of the person who has advised the investor. If left blank, the fund will assume following declaration by the investor "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker". Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. For Direct investments, please mention 'Direct' in the column 'Name & Distributor Code'

SIGNATURE (s)

SOLE / FIRST APPLICANT	SECOND APPLICANT	THIRD APPLICANT

All sections to be filled in English and in BLOCK LETTERS. Use this form If you are making a one time investment. For SIP investment use the separate SIP Form. All columns marked * are mandatory.

2   UNITHOLDER INFORMATION   Folio No. (For Existing Unit Holders)

Sole / 1st Unit Holder	
CKYC Key Identification Number	Aadhar No. (UID No.)

3   INVESTMENT DETAILS   Scheme / Plan / Option / Facility   **Edelweiss -** | Scheme | Plan | Option/Facility |

(Default Plan/Option/Facility will be applied in case of no information, ambiguity or discrepancy) Dividend Reinvestment Facility is not available under Edelweiss ELSS Fund

Dividend Sweep to Scheme _____

Installment Period : From Date _____   To Date _____   or Perpetual (99 years) (Default) ☐

Amount Per Installment : _____   Amount in words : _____

1st Installment Cheque Details : Cheque / DD No. _____   **Amount (`)** _____

Drawn on Bank & Branch : _____

Photo ID Proof number in case of Micro SIP of 1st Applicant _____ 2nd Applicant _____ 3rd Applicant _____

I/We hereby authorize Edelweiss Mutual Fund and their authorized service providers to debit my/our following bank account by NACH clearing / Auto Debit for collection of SIP Payments.
Note: Please allow 1 month Auto Debit to register and start

Frequency Details [Please ✓]

☐ Daily SIP	☐ Weekly SIP	☐ Fortnightly SIP	☐ Monthly SIP	☐ Quarterly SIP
All Business Day	☐ 7th, 14th, 21st, 28th of any month	☐ 10th and 25th	DATE : ___/___/_____ Preffered Debit Date (Any date except last three dates of month)	DATE : ___/___/_____ Preffered Debit Date (Any date except last three dates of month)

SIP Top-up (Optional) (Please ✓ to avail this facility) Top-up Amount _____ (The amount should be in multiples of Rs.500 only)

Top-up Cap Maximum SIP Amount ₹ _____   SIP Top-up Frequency : ☐ Half Yearly ☐ Yearly ☐ Top-up Cap (Refer Instruction No.35)

4   DECLARATION AND SIGNATURE   (To be signed by ALL UNIT HOLDERS if mode of holding is 'joint')*   DATE : ____ / ____ / _____   PLACE : _____

I / We declare that the particulars furnished here are correct. I / We authorise Edelweiss Mutual Fund acting through its service providers to debit my / our bank account towards payment of SIP instalments through an Electronic Debit arrangement. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/we would not hold the user institution responsible. I/We will also inform Edelweiss Mutual Fund about any changes in my bank account. This is to inform you that I/We have registered for making payment towards my investments in EDELWEISS MUTUAL FUND by debit to my /our account directly or through NACH. I/We hereby authorize to honour such payments and have signed and endorsed the Mandate Form. Further, I authorize my representative (the bearer of this request) to get the above Mandate verified. Mandate verification charges, if any, may be charged to my/our account. I also hereby agree to read the respective SID and SAI of the mutual fund before investing in any scheme of Edelweiss Mutual Fund using this facility.

SIGNATURE (s)

SOLE / FIRST APPLICANT	SECOND APPLICANT	THIRD APPLICANT

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✳ Edelweiss MUTUAL FUND
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DEBIT MANDATE FOR NACH

Date [D D M M Y Y Y Y]

Tick (✓)
Create (✓)
Modify (✗)
Cancel (✗)

UMRN [_____]   For Office use only

Sponsor Bank Code [_____]   Utility Code [_____]

I/We hereby authorize   **EDELWEISS MUTUAL FUND**   To Debit (✓)   SB / CA / CC SB NRE / SB NRO / Other

Bank A/c. Number [_____]

With Bank [_____]   IFSC [_____]   or MICR [_____]

An Amount of Rupees [_____]   ₹ [_____]

FREQUENCY ☒ Monthly ☒ Quarterly ☒ Half Yearly ☒ Yearly ☑ As & when presented   **DEBIT TYPE** ☒ Fixed Amount ☑ Maximum Amount

Reference /Folio No. [_____]   Phone No. [_____]

Scheme Name   ALL SCHEMES OF EDELWEISS MUTUAL FUND   Email ID [_____]

I Agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my accounts as per latest schedule of charges of the bank.

PERIOD			
From [D D M M Y Y Y Y]	Signature Primary Account holder	Signature Account holder	Signature Account holder
To [D D M M Y Y Y Y]			
Or ☐ Until Cancelled	1. Name as in Bank Records	2. Name as in Bank Records	3. Name as in Bank Records

This is to confirm that the declaration has been carefully read, understood & made by me / us. I am authorizing the User entity / Corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorized to cancel / amend this mandate by appropriately communicating the cancellation / amendment request to the User entity / corporate or the bank where I have authorized debit

GPrS *[Please ✓]*				(Refer Instruction No.36)
My SIP GOAL (Select Any One Goal)	☐ Buying Home	☐ Child's Education	☐ Retirement Planning	☐ Wealth Creation
My Goal Amount :	₹. _____			

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Instructions

1. UMRN is auto generated during mandate creation and is mandatory to be updated during amendment and cancellation of mandate. (Maximum length – 20 Alpha Numeric Characters).
2. Date in DD/MM/YYYY format.
3. Sponsor Bank IFSC / MICR code, le padded with zeroes where necessary (Maximum length – 11 Alpha Numeric Characters).
4. Utility Code of the Service Provider (Maximum length – 18 Alpha Numeric Characters).
5. Name of the entity to whom the mandate is being given
6. Tick on box to select type of actions to be initiated.
7. Tick on box to select type of actions to be affected.
8. Customer's legal account number, le pad
9. IFSC / MICR code of customer bank. (Maximum length – 11 Alpha Numeric Characters).
10. Amount payable for service or maximum amount per transaction that could be processed, in words.

11. Amount in figures, similar to the amount mentioned in words (Maximum length 13 digit Numeric, in paise).
12. Tick on box to select frequency of transaction.
13. Validity of mandate with dated in DD/MM/YYYY format.
14. Names of customer/s and signatures as well as seal of Company (where required).
15. Telephone no. with STD code of customer.
16. Email ID of customer.

Mutual Fund Investment are subject to market risks, read all scheme related documents carefully.

TERMS AND CONDITIONS

1. Please refer to the Key Information Memorandum (KIM), Statement of Additional Information (SAI) and Scheme Information Document (SID) for Applicable NAV, Risk Factors, Load and other information of the Scheme / Plan before investing.

2. Complete Application form and SIP Enrollment Form along with the first cheque should be submitted to the AMC / Karvy ISC's.

3. Investors should mandatorily give a cheque for the first Installment. The first cheque should be drawn on the same bank account which is to be registered for NACH / Auto Debit. Alternatively, the cheque may be drawn on any bank, for which investor should provide a photocopy of the cheque or cancelled cheque of the bank/branch for which NACH / Auto Debit is to be registered.

4. First SIP cheque and subsequent SIP installments via NACH / Auto Debit should be of the same amount.

5. If any chosen day falls on a non business day, the next business day will be considered as the transaction date.

6. Incorrect / Incomplete applications are liable to be rejected.

7. Edelweiss AMC reserves the right to reject any application without assigning any reason thereof and the Trustee reserves the right to change/modify the terms and conditions of SIP.

8. NACH / Auto Debit instructions will take a minimum of one month for registration with the bank and hence the first debit will be carried out only after one month, on the SIP date mentioned on the form. The AMC reserves the right to modify the SIP period depending on the one month period for registration to ensure minimum number of installments as mentioned in Scheme Information Document (SID)

9. The mandate registration form will be submitted through National Automated Clearing House (NACH) and Banks participating in Direct Debit Facility. This facility is offered to investors having Bank accounts in select banks mentioned in the link under Product and Services tab The Banks http://www.npci.org.in/ in the list may be modified/updated/changed/removed at any time in future entirely at the discretion of National Payments Corporation of India without assigning any reasons or prior notice. Standing instructions for investors in such Banks will be discontinued. We will inform you on such discontinuation

10. Auto Debit facility will be offered only to investors having bank account with following banks: Allahabad Bank, Axis Bank, Bank of Baroda, Bank of India, CITI Bank, Corporation Bank, Federal Bank, ICICI Bank, Kotak Mahindra Bank, Punjab National Bank, South Indian Bank , State bank Of Patiala, UCO Bank, Union Bank of India, United Bank of India & State Bank of India

11. Incase investors bank account is available under NACH as well Auto Debit Facility, registration will be done through NACH platform.

12. If any city, bank/branch is removed, SIP form NACH / Auto Debit instructions for investors in such cities, bank/branch route will be discontinued without prior notice.

13. Existing Unit holders in Scheme(s) of Edelweiss Mutual Fund are required to submit only the SIP NACH / Auto Debit Mandate. Existing unit holders should note that the unit holder's details & the mode of holding will be as per the existing account. New investors, who wish to enroll for SIP through NACH / Auto Debit, should fill the Common Application Form & SIP NACH / Auto Debit Mandate.

14. Initial cheque should be drawn on any bank, which is situated at & is a member of the Banker's Clearing House located at the place where the SIP application is submitted or payable at par & should participate in local MICR clearing. Please contact the nearest designated Investor Service Centre for the updated list. For outstation applications, the initial DD has to be payable at the nearest AMC locations. No outstation cheques will be accepted. The cheque should be drawn in favor of the Scheme chosen {e.g. Pay*********** [Scheme name] & crossed "A/c Payee Only".

15. Payments will be accepted by NACH / Auto Debit mode. For this purpose, investors/unit holders are required to give NACH / Auto Debit Mandate Form to debit their bank accounts at periodic intervals& credit the subscription proceeds to Edelweiss Mutual Fund Bank Account.

16. Returned/Dishonored cheque / NACH / Auto Debit Rejects will not be presented again for collection. If the 1st installment cheque is dishonored, the SIP processing/ registration will be rejected.

17. The SIP Enrollment will be discontinued in cases where three consecutive SIP installment are not honored or the bank account is closed and no request for change in bank account has been submitted.

18. If investor has not provided the SIP frequency/period/date, the default SIP frequency would be Monthly & the SIP installments would be the minimum number of installments of the respective Schemes as specified in the Scheme Information Document. The default date will be considered as 7th of the month for Monthly option.

19. Additional SIP: If an investor wants to enroll in another Scheme & continue for the existing folio, then the investor has to submit a duly filled & signed SIP NACH/ Auto Debit Mandate along with the first cheque (if investment is in the new Scheme).

20. Top-up: After completion of the tenure, in case the investor wants to continue his SIP investment for another tenure with the existing folio, then the investor has to submit a duly filled & signed SIP NACH/ Auto Debit Mandate.

21. Investor opting for Top-Up facility should mention maximum amount according to the duration of SIP on the NACH / Auto Debit mandate

22. You can choose to discontinue this facility by giving 10 days written notice to any of AMC/ Registrar Investor Service centers.

23. Request for change in bank mandate to be submitted atleast 30 business days before the due date of next SIP installment.

24. The bank account provided for NACH (Debit) should be in the list of banks participating in NACH .

25. MICR code or IFSC code should be mandatory filled on NACH mandate, MICR code starting and / or ending with 000 are not valid for NACH.

26. The investor agrees to abide by the terms and conditions of NACH facility of NPCI & Auto Debit as applicable at the time of investment and as may be modified from time to time

27. The investor undertakes to keep sufficient funds in the account till the date of execution of the debit. The investor hereby declares that the particulars given overleaf are correct and complete. If the date of debit to the investors account happens to be a non Business day as per the fund, execution of the debit will not happen on the day of the holiday and allotment of Units will happen as per the terms and conditions listed in the concerned Scheme Information Document (SID). The Fund, its Registrars, Auto Debit Banks and other service providers shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligation under this agreement, where such failure or delay is caused , in whole or in part, by any acts of God, civil war, civil commotion, riots, strike, mutiny, revolution, fire, flood, fog, war, change of government policies, unavailability of banks computer system , force majeure events or any other cause of peril which is beyond their reasonable control and which has the effect of preventing the performance of contract by them.

28. Investors will not hold Edelweiss AMC, its registrars, banks and other service providers responsible if the transaction is delayed or not effected or the investor's bank account is debited in advance or after the specific sip date due to the local holidays or any other reason.

29. Edelweiss AMC reserves the right to reject any application without assigning any reason thereof.

30. Please refer SID for minium SIP investment amount under each Schemes

31. Please refer the Key Information Memorandum (KIM) and Scheme Information Document (SID) of the respective Scheme for applicable NAV, risk factors, load (exit/entry) and other information on the respective Scheme before investing.

32. Incorrect, incomplete or ambiguous forms will not be accepted and will be returned to the investor within 10 business days via normal post.

33. To avail of SIP in separate Schemes via NACH / Auto Debit facility, an investor will have to fill a separate form for each Scheme. A single form cannot be used for different Schemes simultaneously.

34. As per Prevention of Money Laundering Act 2002, it is mandatory for all investors to be KYC compliant. For more details please refer point XI on "Instructions to Investor for Filling up the Application Form.

Additional instructions for Micro Systematic Investment Plan (MSIP)

1. Micro SIP (Systematic Investment Plan) upto `50,000/- per year per investor shall be exempted from the requirement of PAN. In such cases, the client will have to submit certain documents as elaborated below and KYC will be handled by the AMCs/RTAs directly.

Documents required:

a. Standard specified identification instruments like Voter ID card, Government/Defense ID card, Card of Reputed employer, Driving License, Passport in lieu of PAN.

b. Proof of address copy. It is clarified that where photo identification documents contains the address of the investor, a separate proof of address is not required.

c. Supporting documents copy shall be self attested by the investor / attested by the ARN holder mentioning the ARN number or attested by any competent authority.

2. In lieu of PAN card copy Investor (including joint holders) to provide the self attested valid Photo ID Proof. Please refer Point 3.

3. All Micro SIPs where aggregate of installments in a financial year i.e. April to March does not exceed `50,000/-.

4. This exemption will be applicable only investments by individuals (including NRIs but not PIOs), Minors and Sole proprietary firms. HUFs and other categories will not
be eligible for Micro SIPs. The exemption is applicable to joint holders also.

5. While making additional/subsequent Micro SIP investment, investor can quote the existing folio number where a Micro SIP has been registered and therefore need not resubmit the supporting documents again.

6. Photo identification documents to be submitted in case of Micro SIP Voter Identity Card, Driving License, Government / Defense identification card, Passport, Photo Ration Card, Photo Debit Card (Credit card will not be accepted), Employee ID cards issued by companies registered with Registrar of Companies, Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament, ID card issued to employees of Scheduled Commercial / State / District Cooperative Banks, Senior Citizen / Freedom Fighter ID card issued by Government, Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI, Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL), Any other photo ID card issued by Central Government / State Governments /Municipal authorities / Government organizations like ESIC / EPFO.