COMMON APPLICATION FORM

LIC MUTUAL FUND

Investors must read the Key Information Memorandum, the instructions and product labeling on cover page before completing this Form. The Application Form should be completed in English and in BLOCK LETTERS only.

Application No.

KEY PARTNER / ARN HOLDER INFORMATION (Investors applying under Direct Plan must mention "Direct" in ARN Code column.) (Refer Instruction 2 & 3)

ARN / RIA Code	ARN/RIA Name	Sub-broker Code	Sub-broker ARN Code	Employee Unique Identification Number (EUIN)	Time Stamp No
South Gujarat ARN:	54854				*For office use only*

Declaration for "execution-only" transaction (only where EUIN box is left blank) (Refer Instruction No. 3)
"I / We hereby confirm that the EUIN box has been intentionally left blank by me / us as this is an "execution-only" transaction without any interaction or advice by the employee/ relationship manager/ sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee / relationship manager / sales person of the distributor and the distributor has not charged any advisory fees on this transaction." (please tick (√)) and sign) ☐

SIGN HERE First/ Sole Applicant/ Guardian	SIGN HERE Second Applicant	SIGN HERE Third Applicant

TRANSACTION CHARGES FOR APPLICANTS THROUGH ARN HOLDER ONLY [Refer Instruction 4]

☐ I confirm that I am a First time investor across Mutual Funds.	☐ I confirm that I am an existing investor in Mutual Funds.
(Rs. 150 deductible as Transaction Charge and payable to the Distributor)	(Rs. 100 deductible as Transaction Charge and payable to the Distributor

In case the purchase/ subscription amount is Rs. 10,000 or more and your Distributor has opted in to receive Transaction Charges, the same are deductible as applicable from the purchase/ subscription amount and payable to the Distributor. Units will be issued against the balance amount invested. Upfront commission shall be paid directly by the investor to the ARN Holder (AMFI registered Distributor) based on the investors' assessment of various factors including the service rendered by the ARN Holder.

1. EXISTING UNIT HOLDER INFORMATION (If you have existing folio, with PAN & KYC validation please fill in section 1 and proceed to section 4.)

Folio No. ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐ The details in our records under the folio number mentioned alongside will apply for this application

2. APPLICANT(S) DETAILS (In case of Minor, there shall be no joint holders) (Mandatory information – If left blank the application is liable to be rejected.)

	FIRST	MIDDLE	LAST	KYC : ☐
First Applicant's Name/Minor Name				
Second Applicant 's Name				KYC : ☐
Third Applicant 's Name				KYC : ☐

First Applicant PAN : ☐☐☐☐☐☐☐☐☐☐	**Second Applicant PAN :** ☐☐☐☐☐☐☐☐☐☐	**Third Applicant PAN :** ☐☐☐☐☐☐☐☐☐☐
CKYC No.: ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐	**CKYC No.:** ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐	**CKYC No.:** ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐
DOB D D M M Y Y Y Y (mandatory)	**DOB** D D M M Y Y Y Y (mandatory)	**DOB** D D M M Y Y Y Y (mandatory)

NAME OF GUARDIAN (in case of First / Sole Applicant is a Minor) / NAME OF CONTACT PERSON – DESIGNATION (in case of non-individual Investors)

FIRST MIDDLE LAST

PAN: ☐☐☐☐☐☐☐☐☐☐ KYC ☐ CKYC No.: ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐ ☐ Father ☐ Mother ☐ Court Appointed Legal Guardian
DOB D D M M Y Y Y Y (mandatory) Relationship with minor Please (√)

3. TAX STATUS (Please tick √)

☐ Resident Individual ☐ FIIs ☐ NRI-NRO ☐ HUF ☐ Club/Society ☐ PIO ☐ Body Corporate ☐ Minor ☐ Government Body ☐ Bank
☐ Trust ☐ NRI-NRE ☐ FI ☐ Sole Proprietor ☐ Partnership Firm ☐ QFI ☐ FPI ☐ Others ☐ Company ☐ LLP

4. KYC Details (Mandatory) **Occupation Please tick (√)**

FIRST APPLICANT/ GUARDIAN (in case of minor)	☐ Private Sector ☐ Student	☐ Public Sector ☐ Forex Dealer	☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Others .....(please specify)	☐ Retired	☐ Housewife
SECOND APPLICANT	☐ Private Sector ☐ Student	☐ Public Sector ☐ Forex Dealer	☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Others .....(please specify)	☐ Retired	☐ Housewife
THIRD APPLICANT	☐ Private Sector ☐ Student	☐ Public Sector ☐ Forex Dealer	☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Others .....(please specify)	☐ Retired	☐ Housewife

GROSS ANNUAL INCOME [Please tick (√)]

FIRST APPLICANT GUARDIAN (in case of minor)	☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ > 25 Lacs - 1 Crore ☐ > 1 Crore Net worth (Mandatory for Non-Individual Rs. _____ as on D D M M Y Y Y Y (Not older than 1 year)
SECOND APPLICANT	☐ Below 1 lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ > 25 Lacs - 1 Crore ☐ > 1 Crore OR Net Worth _____ (Not older than 1 year)
THIRD APPLICANT	☐ Below 1 lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ > 25 Lacs - 1 Crore ☐ > 1 Crore OR Net Worth _____ (Not older than 1 year)

For Individual	**For Non-Individual Investors (Companies, Trust, Partnership etc.)**	
☐ I am Politically Exposed Person (Also applicable for authorized signatories/ Promoters/Karta/Trustee/Whole time Directors) please mention)	Is the company a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company (If No please attach mandatory Ultimate Beneficial Ownership (UBO) Declaration)	☐ Yes ☐ No
☐ I am Related to Politically Exposed Person	Foreign Exchange / Money Changer Services	☐ Yes ☐ No
☐ Not Applicable	Gaming / Gambling / Lottery / Casino Services	☐ Yes ☐ No
	Money Lending / Pawning	☐ Yes ☐ No
	None of the above	☐ Yes ☐ No

5. MODE OF HOLDING [Please tick (√)] ☐ Joint ☐ Single ☐ Anyone or Survivor (Default option is Joint)

6. MAILING ADDRESS OF FIRST / SOLE APPLICANT (MANDATORY) (Refer Instruction 11)

Landmark City State Pincode ☐☐☐☐☐☐ Country

7. CONTACT DETAILS OF SOLE/FIRST APPLICANT (Mobile No. and Email Id. Refer Instruction No. 11)

Email Id	(Please Specify)		Mobile No.
Tel no	(Resi) (STD Code)	(Off) (STD Code)	

ACKNOWLEDGEMENT SLIP

LIC MUTUAL FUND (TO BE FILLED IN BY THE INVESTOR) **APP. No**

Received an application for purchase of units of LIC MF _____
(Scheme Name with option)
from Mr/Mrs/M/s. _____ alongwith
(Name of the investor)
Cheque/Draft No./Payment Instrument No. _____ Dated _____ Bank _____
Branch _____ Drawn on _____ For ₹ _____
Bank Charges (in cases of Draft) of ₹ _____ Date _____
Please Note : All purchases are subject to realisation of Cheque / Demand Draft / Payment Instrument.

Time Stamp No.

ISC Signature, Stamp & Date

8. Overseas address (Overseas address is mandatory for NRI / FII applicants in addition to mailing address in India)

Landmark City State Pincode ☐☐☐☐☐☐☐ Country

9. DEMAT ACCOUNT DETAILS* - (Optional - refer instruction 13)

	NSDL	CDSL
DP NAME		
DP ID		
Beneficiary Account No		

10. FATCA Detail (For Individuals & HUF (Mandatory) Non Individual investors should mandatorily fill separate FATCA details form

Do you have any non-Indian Country (ies) of Birth / Citizenship / Nationality and Tax Residency? ☐ Yes ☐ No Please tick as applicable and if yes, provide the below mentioned information Imandatory).

Sole/First Applicant/Guardian ☐ Yes ☐ No		**2nd Applicant ☐ Yes ☐ No**		**3rd Applicant ☐ Yes ☐ No or POA ☐ Yes ☐ No**	
Country of Birth		Country of Birth		Country of Birth	
County of Citizenship/ Nationality		Country of Citizenship/ Nationality		Country of Citizenship/ Nationality	
Are you e US Specified Person?	☐ **Yes** ☐ **No** please provide Tax Payer Id.	Are you a US Specified Person?	☐ **Yes** ☐ **No** please provide Tax Payer Id.	Are you a US Specified Person?	☐ **Yes** ☐ **No** please provide Tax Payer Id.
Country of Tax Residency* (other than India)	Taxpayer Identification No.	Country of Tax Residency* (other than India)	Taxpayer Identification No.	Country of Tax Residency* (other than India)	Taxpayer Identification No.
1		1		1	
2		2		2	

* Please indicate all countries in which you are a resident for tax purpose and associated Tax Payer Indentification number. In case of association with POA, the POA holder should fill form to provide the above details mandatorily.

11. BANK ACCOUNT DETAILS OF THE FIRST APPLICANT (refer instruction 8) As per SEBI Regulations it is mandatory for investors to provide their bank account details

Account No.		Name of the Bank	
Type of A/c ☐ SB ☐ Current ☐ NRE ☐ NRO ☐ FCNR ☐ Others Please specify		Branch	Bank City
IFSC code**	MICR no	Refer Instruction 8.3 (Mandatory to attach proof, in case the pay-out bank account is different from the bank account where the investment is made) For unit holders opting to hold units in demat form, please ensure that the bank account is mentioned here. (**Mandatory to credit via NEFT/RTGS)	

12. INVESTMENT DETAILS [Please tick (√)] (Refer Instruction No. 2, 3 & 10) (If this section is left blank, only folio will be created)

Separate cheque/demand draft must be Issued for each Investment, drawn in favour of respective scheme name. Please write appropriate scheme name as well as the Plan / Option / Sub Option.

* Cheque / DD Favouring Scheme Name / Cash (refer Instruction 2 & 3) **LIC MF**	Plan / Option	Amount Invested (Rs.)	Cheque/DD No./UTR No. (in case of NEFT/RTGS) TSL No. (in case of CASH)	Bank and Branch and Account Number (for Cheque / DD)	For Cash
					Deposited in Bank
					Branch Code

*All purchases are subject to relaization of fund (Refer to Instruction No. 10) Account Type (Please tick (√)) ☐ SB ☐ Current ☐ NRE ☐ NRO ☐ FCNR ☐ Others (Please Specify)

13. NOMINATION DETAILS (Refer Instruction No. 15)

☐ I/We wish to nominate ☐ I/We DO NOT wish to nominate (sign here) _____ 1st Applicant Signature (Mandatory)

	Nominee Name and Address	Guardian Name (in case of Minor)	Allocation %	Nominee / Guardian Signature
Nominee 1				
Nominee 2				
Nominee 3				
			100%	

14. POA (Power of Attorney) REGISTRATION DETAILS (Refer Instruction overleaf)

Name of the POA holder
PAN of the PoA holder

Attached ☐ KYC Letter (Mandatory) ☐ Notarized copy of PoA

15. DECLARATION & SIGNATURE/S

a) Having read & understand the contents of the Scheme Information Document of the Scheme & reinvestment scheme. I/We hereby apply for units of the scheme & agree to abide by the terms, conditions, rules & regulations governing the scheme. I /We hereby declare that the amount invested in the scheme is through ligitimate sources only & does not involve & is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Anti Money laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Govt. of India from time to time. I /We have understood the details of the scheme & I /We have nor recieved nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I /We confirm that the funds invested in the Scheme, legally belong to me / us, In the event "Know Tour Customer" process is not completed by me / us to the satisfaction of the AMC. I /We hereby authorised the AMC, to redeem the funds invested in the Scheme, in favour of the applicant at the applicable NAV prevalling on the date of such redemption & undertaking such other action with such funds that may be required by the Law. b) for NRIs: I /We confirm that I am/ we are Non Resident of Indian Nationality / Origin & that I /we have remitted funds from abroad through approved banking channels or from funds in my/our Non-Resident External / Non-Resident Ordinary. I/We confirm that details provided by me/us are true & correct. c) The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode). payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. d) I/We have read & understood the SEBI Circular no. MRD/DoP/Cir 05/2007 dt. April 27, 2007 & SEBI Circular No. 35/ MEM-COR/18/07-08 dt. June 26, 2007 regarding mandatory requirement of PAN. I/We confirm that I/we are holding valid PAN card / have applied for PAN. e) The ARN holder has disclosed to me/us all the commission (In the form of trail commission or any other mode), payable to him for the different competing Scheme of various Mutual Fund from amongst which the Scheme is being recommended to me /us.

FOR INVESTMENT BY CASH : *I have not invested in LIC Mutual Fund more than Rs. 50.000/- in cash including the current investment during the current financial year.*

Date : _____
Place : _____

SIGN HERE First Applicant/ Guardian	SIGN HERE Second Applicant	SIGN HERE Third Applicant

For any queries please contact our nearest Investor Service Centre or

Call Toll Free Number 1800-258-5678

Email : service@licmf.com

Website : www.licmf.com